

# PATENT

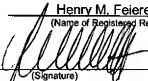
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: HOFFMANN-6

In re Application of:	)	
FRANK HOFFMANN et al.	)	
Appl. No.: 10/567,306	)	
Int. Filing Date: July 15, 2004	)	Confirmation No.: 4897
For: METHOD FOR CONTROLLED	)	
APPLICATION OF A STATOR CURRENT	)	
SET POINT VALUE AND OF A TORQUE	)	
SET POINT VALUE FOR A CONVERTER-	)	
FED ROTATING-FIELD MACHINE	)	

### SUBMISSION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATION OF EFS-WEB TRANSMISSION	
I hereby certify that this paper is being EFS-Web transmitted to the U.S. Patent and Trademark Office, Alexandria VA 22313-1450, on <u>October 31, 2007</u> .	
Date	
<u>Henry M. Feiereisen</u>	
(Name of Registered Representative)	
	<u>10-31-2007</u>
(Signature)	(Date of Signature)

SIR:

In response to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States designated/elected office, mailed on August 31, 2007, applicant herewith submits the following items for filing in the above-referenced U.S. Patent Application:

- ☐ An English translation of the originally filed German language application.  
The hereto attached English language text is an accurate translation of the originally filed German language application.
- ☐ Applicant claims small entity status pursuant to 37 C.F.R. 1.27.
- ☒ Declaration and Power of Attorney, dated January 18, 2006 and December 19, 2005, respectively.
- ☒ Assignment, signed and dated by the inventors on January 18, 2006 and December 19, 2005, respectively, filed separately.
- ☐ Cover sheet for Recordation of Assignment.
- ☒ Payment in the amount of \$130.00 to cover the surcharge for late filing of the Declaration.
- ☐ Filing Fee.

The filing fee is calculated as follows:

Basic National, Examination and Search Fees: \$ 0.00

Additional Fees:

Total number of claims: -20 \$ 0.00

Number of independent claims: -3 \$ 0.00

Submission of a multiple dependent claim: \$ 0.00

Reduction by 50% for a small entity \$

TOTAL \$

- ☒ Notification of Missing Requirements under 35 U.S.C. 371 in the United States designated/elected office (DO/EO/US).
- ☐ Application Data Sheet pursuant to 37 CFR 1.76.
- ☐ Preliminary Amendment.
- ☐ Request for Corrected Filing Receipt
- ☐ Information Disclosure Statement with ☐ references and ☐ PTO Form 1449.

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.

Respectfully submitted,

By: 

Henry M. Feiereisen  
Agent for Applicant  
Reg. No: 31,084

Date: October 31, 2007  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/567,306	FIRST NAMED APPLICANT Frank Hoffmann	ATTY. DOCKET NO. HOFFMANN6
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INTERNATIONAL APPLICATION NO. PCT/EP04/07925
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I.A. FILING DATE 07/15/2004	PRIORITY DATE 08/06/2003
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20151  
HENRY M FEIEREISEN, LLC  
350 FIFTH AVENUE  
SUITE 4714  
NEW YORK, NY 10118

CONFIRMATION NO. 4897

371 FORMALITIES LETTER



\*OC000000025638142\*

Date Mailed: 08/31/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/06/2006
- English Translation of the IA filed on 02/06/2006
- Copy of the International Search Report filed on 02/06/2006
- Copy of IPE Report filed on 02/06/2006
- Preliminary Amendments filed on 02/06/2006
- Information Disclosure Statements filed on 05/05/2007
- Request for Immediate Examination filed on 02/06/2006
- U.S. Basic National Fees filed on 02/06/2006
- Substitute Specification filed on 02/06/2006
- Priority Documents filed on 02/06/2006
- Specification filed on 02/06/2006
- Claims filed on 02/06/2006
- Abstracts filed on 02/06/2006
- Drawings filed on 02/06/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130 Surcharge.**

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/567,306	PCT/EP04/07925	HOFFMANN6